# Multivariate cryptography 

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Selected Areas in Cryptology - Part 1
Spring, 2024

## TU/e

## Algebraic cryptanalysis (recall)



algebraic modeling

or

$\sqrt{2} 9$

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## Modelisation

A motivating example.

Given matrices $\mathbf{C}_{1}, \mathbf{C}_{2}, \mathbf{D}_{1}, \mathbf{D}_{2} \in \mathscr{M}_{n, n}\left(\mathbb{F}_{q}\right)$ (the space of matrices over $\mathbb{F}_{q}$ of size $n \times n$ ), find $\mathbf{A}, \mathbf{B} \in \mathrm{GL}_{n}\left(\mathbb{F}_{q}\right)$ (the space of invertible matrices over $\mathbb{F}_{q}$ of size $n \times n$ ), such that

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& \mathbf{D}_{1}=\mathbf{A} \mathbf{C}_{1} \mathbf{B} \\
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$\longrightarrow$ In the assignment:

- Write down the equations;
- Find a better modelisation for this problem;


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A motivating example: a better idea for modelisation.

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$\longrightarrow$ Results in a linear system with the same number of variables and equations.

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$\longrightarrow$ Demo
$\longrightarrow$ Results in a linear system with the same number of variables and equations.
$\longrightarrow$ If $\mathbf{C}_{1}, \mathbf{C}_{2}, \mathbf{D}_{1}, \mathbf{D}_{2}$ are all full rank, we should have a unique solution.
$\longrightarrow$ We can easily recover $\mathbf{A}$ from $\mathbf{A}^{-1}$.

Multivariate digital signature schemes

## Multivariate signatures



Examples.<br>MQDSS<br>SOFIA

Examples.

HFEv-
UOV

## The MQ problem (recall)

A quadratic system of $m$ equations in $n$ variables over a finite field $\mathbb{F}_{q}$ :

$$
f^{(k)}\left(x_{1}, \ldots, x_{n}\right)=\sum_{1 \leq i \leq j \leq n} \gamma_{i j}^{(k)} x_{i} x_{j}+\sum_{1 \leq i \leq n} \beta_{i}^{(k)} x_{i}+\alpha^{(k)}
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## The MQ problem

Given $m$ multivariate quadratic polynomials $f^{(1)}, \ldots, f^{(m)}$ of $n$ variables over a finite field $\mathbb{F}_{q^{\prime}}$ find a tuple $\mathbf{x}=\left(x_{1}, \ldots, x_{n}\right)$ in $\mathbb{F}_{q^{\prime}}^{n}$, such that $f^{(1)}(\mathbf{x})=\ldots=f^{(m)}(\mathbf{x})=0$.

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$\longrightarrow$ Hard in general (should be hard for randomly generated instances).
$\longrightarrow$ Can become easy if we have some structure (a trapdoor).

The trapdoor construction

## The trapdoor construction

- Central map:
$f:\left(x_{1}, \ldots, x_{n}\right) \in \mathbb{F}_{q}^{n} \rightarrow\left(f^{(1)}\left(x_{1}, \ldots, x_{n}\right), \ldots, f^{(m)}\left(x_{1}, \ldots, x_{n}\right)\right) \in \mathbb{F}_{q}^{m}$
- Two bijective linear (or affine) transformations:
$\mathbf{S} \in \mathrm{GL}_{n}\left(\mathbb{F}_{q}\right)$ and $\mathbf{T} \in \mathrm{GL}_{m}\left(\mathbb{F}_{q}\right)$
- Public map:
$p=\mathbf{T} \circ f \circ \mathbf{S}$


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Main idea:

- The central map has a structure such that it is easy to find preimages: it is easy (polynomial time) to compute $f^{-1}(\mathbf{x})$ for a target vector $\mathbf{x}$.
- The linear transformations hide the structure of the central map.


## The trapdoor construction



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## The trapdoor construction



Isomorphism of polynomials

## Isomorphism of polynomials

## The Isomorphism of Polynomials (IP) problem

Input: Two $m$-tuples of multivariate polynomials
$f=\left(f^{(1)}, \ldots, f^{(m)}\right), p=\left(p^{(1)}, \ldots, p^{(m)}\right) \in \mathbb{F}_{q}\left[x_{1}, \ldots, x_{n}\right]^{m}$.
Question: Find - if any - $\mathbf{S} \in \mathrm{GL}_{n}\left(\mathbb{F}_{q}\right)$ and $\mathbf{T} \in \mathrm{GL}_{m}\left(\mathbb{F}_{q}\right)$ such that $p=\mathbf{T} \circ f \circ \mathbf{S}$.

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## The Extended Isomorphism of Polynomials (EIP) problem

Input: An $m$-tuple of multivariate polynomials $p=\left(p^{(1)}, \ldots, p^{(m)}\right) \in \mathbb{F}_{q}\left[x_{1}, \ldots, x_{n}\right]^{m}$ and a special class of $m$-tuples of multivariate polynomials $\mathscr{C} \subseteq \mathbb{F}_{q}\left[x_{1}, \ldots, x_{n}\right]^{m}$. Question: Find - if any - $\mathbf{S} \in \mathrm{GL}_{n}\left(\mathbb{F}_{q}\right), \mathbf{T} \in \mathrm{GL}_{m}\left(\mathbb{F}_{q}\right)$ and $f=\left(f^{(1)}, \ldots, f^{(m)}\right) \in \mathscr{C}$ such that $p=\mathbf{T} \circ f \circ \mathbf{S}$.

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Signature schemes with the trapdoor construction rely on EIP, because we do not have the central $\operatorname{map} f$, but we know the special class to which it belongs (example - UOV - coming up).

## Unbalanced Oil and Vinegar

 (UOV)
## The UOV central map

Unbalanced Oil and Vinegar [Kipnis, Patarin, Goubin, '99]

$$
\begin{aligned}
& \qquad f^{(k)}\left(x_{1}, \ldots, x_{n}\right)=\sum_{i \in V, j \in V} \gamma_{i j}^{(k)} x_{i} x_{j}+\sum_{i \in V, j \in O} \gamma_{i j}^{(k)} x_{i} x_{j}+\sum_{i=1}^{n} \beta_{i}^{(k)} x_{i}+\alpha^{(k)} \\
& \text { Index set of vinegar variables: } V=\{1, \ldots, v\} \quad \text { Index set of oil variables: } O=\{v+1, \ldots, n\}
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$\longrightarrow$ The central map is constructed in such a way that enumerating all of the vinegar variables leaves us with a linear system in the oil variables (oil does not mix with oil).

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$\longrightarrow$ The central map is constructed in such a way that enumerating all of the vinegar variables leaves us with a linear system in the oil variables (oil does not mix with oil).
$\longrightarrow$ Everything is as described in the previous slides, except that we do not have a linear transformation on the output: $\mathbf{T}=\mathbf{I}$.

## Matrix representation of quadratic forms

Quadratic form: $f(\mathbf{x})=\sum \gamma_{i j} x_{i} x_{j}$


| $c$ |
| :---: |
| $\mathbf{F}$ |
| $\gamma_{1,1}$ $\frac{\gamma_{1,2}}{2}$ $\frac{\gamma_{1,3}}{2}$ $\frac{\gamma_{1,4}}{2}$ <br> $\frac{\gamma_{2,1}}{2}$ $\gamma_{2,2}$ $\frac{\gamma_{2,3}}{2}$ $\frac{\gamma_{2,4}}{2}$ <br> $\frac{\gamma_{3,1}}{2}$ $\frac{\gamma_{3,2}}{2}$ $\gamma_{3,3}$ $\frac{\gamma_{3,4}}{2}$ <br> $\frac{\gamma_{4,1}}{2}$ $\frac{\gamma_{4,2}}{2}$ $\frac{\gamma_{4,3}}{2}$ $\gamma_{4,4}$ |

X

| $x_{1}$ |
| :--- |
| $x_{2}$ |
| $x_{3}$ |
| $x_{4}$ |

so with $\mathbf{x}=\left(x_{1}, \ldots, x_{n}\right)$, we get $\mathbf{x}^{\top} \mathbf{F x}$.

## Matrix representation of bilinear forms

Bilinear form: $f(\mathbf{x}, \mathbf{y})=\sum \gamma_{i j} x_{i} y_{j}$

| $\mathbf{X}^{\top}$ |  |  |  |
| :---: | :---: | :---: | :---: |
| $x_{1}$ |  |  |  |$x_{2} \quad x_{3} \quad x_{4} \quad$|  |
| :--- |


y

| $y_{1}$ |
| :--- |
| $y_{2}$ |
| $y_{3}$ |
| $y_{4}$ |

so with $\mathbf{x}=\left(x_{1}, \ldots, x_{n}\right)$ and $\mathbf{y}=\left(y_{1}, \ldots, y_{n}\right)$, we get $\mathbf{x}^{\top} \mathbf{B y}$.

## The UOV central map

Toy example: $v=7, m=4$

*Grayed areas represent the entries that are possibly nonzero; blank areas denote the zero entries;

## UOV key generation

In matrix representation
P $\mathbf{P}^{(k)}=\mathbf{S}^{\top} \mathbf{F}^{(k)} \mathbf{S}$, for all $k \in\{1, \ldots, m\}$.

## UOV key generation

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Why?

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Why?
$\longrightarrow$ By definition, $p=f \circ \mathbf{S}$.

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In matrix representation, we need:

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## UOV in the NIST competition

UOV<br>TUOV<br>PROV<br>MAYO<br>VOX<br>QR-UOV<br>SNOVA

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UOV
TUOV PROV
MAYO
VOX
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SNOVA

Example.

|  | NIST <br> SL | $n$ | $m$ | $\mathbb{F}_{q}$ | $\mid$ pk $\mid$ <br> (bytes) | $\mid$ sk $\mid$ <br> (bytes) | $\mid$ cpk $\mid$ <br> (bytes) | $\mid$ sig+salt $\mid$ <br> (bytes) |
| ---: | :---: | :---: | :---: | :---: | ---: | ---: | ---: | ---: |
| ov-Ip | 1 | 112 | 44 | $\mathbb{F}_{256}$ | 278432 | 237896 | 43576 | 128 |
| ov-Is | 1 | 160 | 64 | $\mathbb{F}_{16}$ | 412160 | 348704 | 66576 | 96 |
| ov-III | 3 | 184 | 72 | $\mathbb{F}_{256}$ | 1225440 | 1044320 | 189232 | 200 |
| ov-V | 5 | 244 | 96 | $\mathbb{F}_{256}$ | 2869440 | 2436704 | 446992 | 260 |

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## UOV

 TUOV PROV MAYO VOX QR-UOV SNOVA
## Example.

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- We choose $n \sim 2.5 m$ (slightly bigger than)

UOV-like schemes have:

- Big public keys
- Small signatures



## Attacks on UOV

- Direct attack
- Reconciliation attack
- Kipnis-Shamir attack
- Intersection attack


## Direct attack

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Try to forge a signature with only the knowledge of the public key.

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「--- Constraint for modelisation
For a target $\mathbf{w}$, find $\mathbf{z}$ such that $p(\mathbf{z})=\mathbf{w}$.
$\longrightarrow$ Equations:

$$
\begin{aligned}
& \mathbf{z}^{\top} \mathbf{P}^{(1)} \mathbf{z}=w_{1} \\
& \mathbf{z}^{\top} \mathbf{P}^{(2)} \mathbf{z}=w_{2} \\
& \ldots \\
& \mathbf{z}^{\top} \mathbf{P}^{(m)} \mathbf{z}=w_{m}
\end{aligned}
$$



## The secret subspace $O$

The map $p$ with a UOV trapdoor vanishes on a linear subspace $O \subset \mathbb{F}_{q}^{n}$ of $\operatorname{dim}(O)=m$ :

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p(\mathbf{o})=0, \text { for all } \mathbf{o} \in O .
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Why ?
Let $O^{\prime} \in \mathbb{F}_{q}^{n}$ be the $m$-dimensional space that consists of all the vectors whose first $n-m$ entries (corresponding to the vinegar variables) are zero: $O^{\prime}=\left\{\mathbf{v} \mid v_{i}=0\right.$ for all $\left.i \leq n-m\right\}$.

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Let $O=\mathbf{S}^{-1}\left(O^{\prime}\right)$.
$\longleftrightarrow p$ vanishes on $O$.

## Reconciliation attack

## The polar form

The polar form of a quadratic map $p=\left(p^{(1)}, \ldots, p^{(m)}\right)$ is the bilinear form $p^{\prime}=\left(p^{\prime(1)}, \ldots, p^{\prime(m)}\right)$ such that

$$
p^{\prime(k)}(\mathbf{x}, \mathbf{y})=p^{(k)}(\mathbf{x}+\mathbf{y})-p^{(k)}(\mathbf{x})-p^{(k)}(\mathbf{y}), \text { for all } k \in\{1, \ldots, m\} .
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What does $p^{\prime(k)}(\mathbf{x}, \mathbf{y})$ look like ?

## The polar form

The polar form of a quadratic map $p=\left(p^{(1)}, \ldots, p^{(m)}\right)$ is the bilinear form $p^{\prime}=\left(p^{\prime(1)}, \ldots, p^{\prime(m)}\right)$ such that

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p^{\prime(k)}(\mathbf{x}, \mathbf{y})=p^{(k)}(\mathbf{x}+\mathbf{y})-p^{(k)}(\mathbf{x})-p^{(k)}(\mathbf{y}), \text { for all } k \in\{1, \ldots, m\} .
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& =x^{\top} \tilde{\mathbf{P}}^{(k)} \mathbf{y}+y^{\top} \tilde{\mathbf{P}}^{(k)} \mathbf{x} \\
& =x^{\top}\left(\tilde{\mathbf{P}}^{(k)}+\tilde{\mathbf{P}}^{(k) \top}\right) \mathbf{y}=x^{\top} \mathbf{B}^{(k)} \mathbf{y}
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$\longrightarrow$ So, $p^{\prime}$ is bilinear and symmetric.

## Reconciliation attack

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Find the secret oil subspace $O$ : find $m$ linearly independent vectors in $O$.
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For any vector $\mathbf{o}_{i} \in O$, we have that $\mathbf{o}_{i}^{\top} \mathbf{P}^{(k)} \mathbf{o}_{i}=0$ for all $k \in\{1, \ldots, m\}$.
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Equations:

$$
\begin{aligned}
& \text { For } i \in\{1, \ldots, m\} \text { do } \\
& \qquad \begin{aligned}
& \mathbf{o}_{i}=\left(o_{1}, \ldots, o_{v}, 0, \ldots, 1_{n-i+1}, 0, \ldots, 0\right) \\
& \text { Solve: } \\
& \qquad \begin{aligned}
\mathbf{o}_{i}^{\top} \mathbf{B}^{(k)} \mathbf{o}_{j} & =0, \text { for } k \in\{1, \ldots, m\} \text { and } j<i \\
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In the first iteration, we have only quadratic equations, so this is the bottleneck. Linear constraints facilitate the resolution of a system.


## The orthogonal complement of a subspace

Let $V \subset \mathbb{F}_{q}^{n}$. The orthogonal complement of $V$ is $V^{\perp}$ such that

$$
V^{\perp}=\left\{\tilde{\mathbf{v}}_{i} \in \mathbb{F}_{q}^{n} \mid\left\langle\mathbf{v}_{j}, \tilde{\mathbf{v}}_{i}\right\rangle=0, \text { for all } \mathbf{v}_{j} \in V\right\}
$$

If $V$ is $m$-dimensional, then $V^{\perp}$ is $(n-m)$-dimensional.

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Find the secret oil subspace $O$. Works well for the balanced case $(n=2 m)$ - the original proposal of OV.

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$\left\langle\mathbf{o}_{2}, \mathbf{B}^{(k)} \mathbf{o}_{1}\right\rangle=\mathbf{o}_{2}^{\top} \mathbf{B}^{(k)} \mathbf{o}_{1}$

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$\longrightarrow$ Finding a common invariant subspace of a large number of linear maps is easy.
$\longrightarrow$ Oil and Vinegar becomes Unbalanced Oil and Vinegar because of this attack.


## Intersection attack

Find the secret oil subspace $O$. Use the ideas of the Kipnis-Shamir attack, but for the unbalanced case ( $n>2 m$ ).

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$\longrightarrow$ The attack can be generalised to find a vector in the intersection of more than two subspaces.

